UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: C516.12-0006 Date: Sep	ptember	18,	. 200
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First Named Inventor: Michael P. Corcoran et al.

Title: SELF CENTERING CLOSURE DEVICE FOR SEPTAL **OCCLUSION**

Express Mail No.: EV 302261126 US

APPLICATION ELEMENTS

ADDRESS TO:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

1. [X] Fee Calculation Sheet (Submit an original and a duplicate for fee processing)

- 2. [X] Applicant claims small entity status
- 3. [X] Specification

Total Pages [24]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed. Sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**
- Claims
- Abstract of the Disclosure
- 4. [X] Drawings (35 U.S.C. 113) 5. [X] Oath or Declaration

Total Sheets [6] Total Pages [2]

- a. [X] Newly Executed (original or copy)
 - b. [] Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18

completed)

[Mark Box 5 below]

I. [] DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)

6. [X] Application Data Sheet. See 37 CFR 1.76.

- □ CD-ROM or CD-R in duplicate, large
 - table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
 - a. D Computer Readable Copy (CRF)
 - b. Specification Sequence Listing on:
 - i. [] CD-ROM or CD-R (2 copies; or
 - ii. [] Paper
 - c. Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

- [X] Assignment Papers (cover sheet & document(s))
- [X] 37 C.F.R. 3.73(b) Submission 10.

[X] Power of Attorney

- English Translation Document (if applicable) 11
- Information Disclosure Statement with copies of Citations as necessary
- 13. Preliminary Amendment

Total Pages []

- [X] Return Receipt Postcard (Should be specifically itemized)
- Certified Copy of Priority document(s) (If foreign 15. priority is claimed)
- Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Application must attach form PTO/SB/35 or its equivalent
- 17. [X] Two checks totaling \$688.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Division

Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner Group Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME AND REG. NO.	Alana T. Bergman	47,420	SIGNATURE: Wast	ON
ADDRESS	Kinney & Lange, P.A. THE KINNEY & LANGE BUILDING 312 South Third Street Minneapolis, MN 55415-1002			
TELEPHONE	(612) 339-1863		FAX: (612) 339-6580	

	}	,		Compl te if Known		
FEE TRANSMITTAL		Application No.				
	Filing Da	ate		Herewith		
	First Nar	ned li	nventor	Michael P. Corcoran et al.		
	Group A	rt Uni	t		~	
	Examine	er Nar	ne			
Total Amount of Payment \$688.00	Atty. Doo	cket N	lumber	C516.12-0006		
METHOD OF PAYMENT (Check One)	 		EEE (CALCULATION (Continued)		
1. [X] The Commissioner is hereby authorized to charge any	3. ADDITIO	ONAL I		SALUGERION (GOMMINGE)	COMPRESSOR SERVICES	
additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982 Deposit Account Name: Kinney & Lange, P.A. A duplicate copy of this communication is enclosed	Large Entity	e Fee Cod	le (\$)	Fee Description	Fee paid *	
2. [X] Check Enclosed	1052 5			Surcharge - late provisional filing fee	*	
FEE CALCULATION	1053 13			or cover sheet Non-English specification	*	
	1				*	
1. BASIC FILING FEE	1812 2,52		12 2,520	For Filing a Request for Reexamination	- -	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	H	0 225		Extension for reply within first month	*	
Code (\$) Code (\$) Fee Description 1001 750 2001 375 [X] Utility Filing Fee	1252 41	0 225	2 205	Extension for reply within second month	_	
1006 330 2006 165 [] Design Filing Fee	1253 93	0 225	3 465	Extension for reply within third month	*	
1004 750 2004 375 [] Reissue Filing Fee	1254 1,45	50 225	4 725	Extension for reply within fourth month	*	
1005 160 2005 80 [] Prov. Filing Fee	1255 1,97	70 225	5 985	Extension for reply within fifth month	*	
Subtotal (1) \$375.00	1402 32	20 240	2 160	Filing a brief in support of an appeal	*	
2. EXTRA CLAIM FEES	1403 28	80 240	3 140	Request for oral hearing	*	
Number Prior** Extra Fee from Fee Paid Claims Below	1814 1	10 281	4 55	Terminal Disclaimer Fee	*	
Total 41 - 20 = 21 x 9 = 189	1452 1	10 245	2 55	Petition to revive - unavoidable	*	
Indep. $5 - 3 = 2 \times 42 = 84$	1453 1,30	00 245	3 650	Petition to revive - unintentional	*	
Multiple Dependent Claims * = *	1501 1,30	00 250	1 650	Utility/Reissue issue fee	*	
**Insert 3 and 20, or number previously paid if greater; Reissue see	1502 47	70 250	2 235	Design issue fee	*	
below	1460 13	30 146	0 130	Petitions to the Commissioner	*	
Large Entity Small Entity Fee Fee Fee Description	1807 5	50 180	7 50	Petitions related to provisional applications	*	
Code (\$) 1202 18 2202 9 Claims in excess of 20	1806 18	80 180	6 180	Submission of Information Disclosure	*	
1201 84 2201 42 Independent claims in excess of 3	8021 4	10 802	1 40	Statement Recording each patent assignment per	40	
1203 280 2203 140 Multiple Dependent Claim	}		-	property (times number of properties)		
1204 84 2204 42 Reissue Independent Claims Over Original Patent	1801	750 2	801 375	Request for Continued Examination (RCE)	*	
1205 18 2205 9 Reissue claims in excess of 20 and over original patent	Other fee (s	pecify) _		Subtotal (3)	* \$40 00	
Subtotal (2) \$273.00				Subiolai (3)	Ψ-0.00	
MayaRan						

Signature	Malaster	Reg. No	47,420
•	Alana T. Bergman		
Date	9-18-03	Deposit Account No.	11-0982

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor

: Michael P. Corcoran et al.

Appln. No.

: Herewith

Filed Title

: SELF CENTERING CLOSURE DEVICE

FOR SEPTAL OCCLUSION

Docket No. : C516.12-0006

Batch No:

Allowed:

Group Art Unit:

Examiner:

LETTER TO OFFICIAL DRAFTSMAN

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450 SENT VIA EXPRESS MAIL

Express Mail No.: EV 302261126 US

Sir:

Enclosed are six (6) sheets of formal drawings for filing in the above-identified application.

Respectfully submitted,

KINNEY & LANGE, P.A.

Date: 9-18-03

Alana T. Bergman, Reg. No. 47,420

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